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Call Notes

Created By: Vivien Liu (10/9/2013 2:13 PM)

This call is from the 6500 line.

The caller used to be a limited partner of Marlboro Building Associates. This limited partnership and certain others combined themselves into the Empire State Realty Trust, the shares of which were offered to the public in an IPO (CIK# 0001541401). The caller wants to know how his current shares were converted from his former ownership of Marlboro Building Associates. The Empire State Realty Trust, however, did not provide him this information in the prospectus and when he called the company, the company refused to give him this information.

He would like to know if under the federal securities law, the information he is seeking should be disclosed to the investors. I believe that this is material information and the prospectus should disclose figures at the limited partnership level. I suggested that he contact our staff at the Corp. Fin. for more information about the federal securities law requirement. I provided him the contact information.

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Call Notes

Created By: Steven G. Johnston (9/26/2013 3:05 PM)

2:49 p.m. Spoke with correspondent. She is going to send her question in writing to help@sec.gov so that we can research an answer for her. She will send her question to my attention.

Sent: Monday, September 23, 2013 10:39 AM

The OIG hotline received a call this morning from a caller regarding current REIT's being pushed through by Maulken Holdings. The caller is a shareholder in Empire State Building Associates and has questions regarding whether Maulken Holdings is doing the right things to represent her interest. I'm not sure if REIT's are under TM's oversight so I wanted to touch base with you to see whom I could direct this issue to. The caller's contact information is as follows:

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